



Adjuvant treatment for pancreatic cancer

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Abstract: Pancreatic cancer is the third leading cause of cancer-associated mortality in Western countries. Upfront resection with adjuvant chemotherapy is the treatment of choice in resectable tumors, offering the chance for cure. Until the 1990s, adjuvant therapy was not routinely used after resection for pancreatic cancer. During the last three decades however, enormous progress has been made in evidence-based oncological management of resectable pancreatic cancer. Based on the results from multicenter randomized controlled trials, primarily initiated by the European Study Group of Pancreatic Cancer (ESPAC), adjuvant chemotherapy has become the gold standard after upfront resection, while adjuvant chemoradiotherapy is not recommended. Combination chemotherapy with gemcitabine and capecitabine was shown to significantly prolong median overall survival after resection compared to monotherapy with either gemcitabine or 5-fluorouracil/folinic acid. Recent data from the French-Canadian Uni-Cancer GI PRODIGE 24/CCTG PA.6 trial showed that adjuvant poly-agent chemotherapy with modified FOLFIRINOX achieved median survival times of 54.4 months in selected patients. Despite improved survival times after resection followed by adjuvant chemotherapy, however, recurrence occurs still in more than 75% of patients within the first 2 years after resection. Further efforts are therefore to be made in early detection tools, the evaluation of neoadjuvant strategies, the development of new drug targets, and stratification strategies to better select patients for the available therapies. This review article summarizes the body of evidence on adjuvant treatment for pancreatic cancer, identifies evidence gaps and provides future perspectives.

Keywords: Pancreatic cancer; adjuvant treatment; evidence-based medicine

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Introduction

Pancreas cancer is meanwhile the third cause of cancer-associated mortality in Western countries with only an unsatisfying improvement in 5-year survival rates during the last decades to actually around 9% when considering all stages (1,2). Complete tumor removal offers the only chance for cure and upfront surgery therefore remains the treatment of choice in resectable tumors. In borderline resectable tumors with only venous involvement upfront surgery is also preferred, while for tumors with arterial involvement upfront resection is not routinely

recommended (3). Only 15–20% of patients are candidates for immediate tumor resection, while the vast majority of patients are diagnosed with advanced metastatic disease and should therefore be considered for palliative treatment strategies (2,3). Surgery alone, however, does not enable long-term survival with median survival times of around 8–10 months and early tumor relapse in the majority of patients (2,4). Adjuvant chemotherapy has thus been developed during the last decades. As a result, postsurgical median survival has been more than doubled in selected patients receiving adjuvant chemotherapy with modified folinic acid, fluorouracil, irinotecan and oxaliplatin

(mFOLFIRINOX), achieving median overall survival times of 54.4 months in the mFOLFIRINOX group (5). Until the 1990s, however, adjuvant therapy was not routinely recommended following resection. During the last three decades, enormous progress has been made in the conduct of high-quality, multicenter randomized controlled trials resulting in a radical change in onco-surgical management and treatment guidelines for resectable pancreatic cancer patients (6). This review article gives an overview of the development of adjuvant treatment for pancreatic cancer highlighting the most important clinical trials on this issue (*Table 1*).

Development of adjuvant treatment

Between 1974 and 1982, the first randomized controlled trial comparing adjuvant chemoradiotherapy and observation was conducted. Forty-three patients with microscopically tumor-negative resection margins were allocated to either radiotherapy with 40 Gy plus fluorouracil followed by maintenance chemotherapy with fluorouracil for 2 years, or to no adjuvant treatment (7). Due to poor accrual the trial was terminated prematurely. The median overall survival differed significantly among the two groups with longer survival times in the adjuvant chemoradiotherapy group (21.0 versus 10.9 months). To increase the sample size, additional 30 non randomized patients were included in the treatment group achieving a median overall survival of 18 months (8). However, the sample size was not sufficient to derive a convincing conclusion from this trial. The improved survival times in the chemoradiotherapy group may have been dominated by maintenance chemotherapy.

Bakkevold and colleagues then randomized 61 patients with resected pancreatic cancer (n=47) or carcinoma of the papilla of Vater (n=14) to adjuvant fluorouracil, doxorubicin plus mitomycin C once every 3 weeks for 6 cycles, or to no adjuvant treatment (9). Median overall survival was significantly prolonged in the adjuvant chemotherapy group (23 versus 11 months). Despite the multicenter study design, however, the a priori defined sample size of more than 80 patients could not be recruited, illustrating the difficulties in the conduct of randomized trials on adjuvant treatment at that time.

Between 1986 and 1992, a Japanese multicenter trial investigated the effect of adjuvant treatment with mitomycin C and fluorouracil versus surgery only in 508 patients with resected pancreatobiliary carcinomas (10). The intervention

group received mitomycin C perioperatively and fluorouracil postoperatively until disease recurrence. Of 158 included patients with pancreatic cancer, 92 patients underwent curative resection. Five-year survival rates did not differ significantly among the two groups with even an increased survival rate in the observation group (17.8% versus 26.6%), making adjuvant chemotherapy still unconvincing.

Between 1987 and 1995, the EORTC gastrointestinal tract cancer cooperative group investigated the role of postoperative chemoradiotherapy (without maintenance chemotherapy) within a phase III clinical trial including 218 patients with pancreatic and ampullary cancer (11). When considering the pancreatic cancer subgroup only, there were 114 patients who were randomized to either the observation group (n=54) or to chemoradiotherapy (n=60) composed of radiotherapy with 40 Gy and fluorouracil. Median overall survival was not significantly different among the two groups. Non-superiority of the treatment group was confirmed when analyzing long-term follow-up data of patients included in this trial. The overall 10-year survival was 8% in the subgroup of pancreas head cancers with no significant differences among intervention and control group (12).

To further evaluate the effectiveness of adjuvant therapy in resected pancreatic cancer patients, the European Study Group for Pancreatic Cancer (ESPAC)-1 trial used a 2x2 factorial design to randomize 289 patients to either chemotherapy with fluorouracil/folinic acid for 6 cycles or no chemotherapy, and chemoradiotherapy with 20 Gy dose to the tumor given in ten daily fractions over a 2-week period plus fluorouracil or no chemoradiation (*Figure 1*). Additional 261 patients were allocated to either chemotherapy or chemoradiotherapy versus observation within the ESPAC-1 plus trial (13,14). After a median follow-up of 47 months of patients in the 2x2 factorial design, the median survival was 20.1 months among the 147 patients who received chemotherapy and 15.5 months among the 142 patients who did not receive chemotherapy. The results of the ESPAC-1 trial showed that adjuvant chemotherapy significantly increased survival compared to no chemotherapy, whereas survival was worse with chemoradiotherapy than with no chemoradiotherapy.

The CONKO-001 multicenter randomized trial evaluated 6 cycles of adjuvant chemotherapy with gemcitabine versus no adjuvant chemotherapy in 354 patients with resected pancreatic cancer and postoperative tumor markers (CEA and CA 19-9) of a maximum of 2.5 times the upper limit of the normal values (15). Disease-free (primary endpoint)

Table 1 Randomized controlled trials of adjuvant chemoradiotherapy and chemotherapy in resected pancreatic cancer

| Trial | Recruitment period | Treatment arms | No. of patients | Median OS (months) | 5-year OS (%) |
|--|--------------------|--|--------------------|------------------------|-----------------------|
| GITSG (7,8) | 1974–1982 | CRT + 5-FU + maintenance 5-FU; observation | 21; 22 | 21.0; 10.9, P=0.03 | 19; 5 |
| Norway multi-center (9) | 1984–1987 | 5-FU/DOX/MMC; observation | 30; 31 | 23; 11, P=0.04 | 4; 8 |
| Japan multi-center (10) | 1986–1992 | MMC/oral 5-FU + maintenance 5-FU; observation | 45; 47 | – | 17.8; 26.6, P=0.454 |
| EORTC trial 40891 (11,12) | 1987–1995 | CRT; observation | 60; 54 | 17.1; 12.6, P=0.099 | 20; 10 |
| ESPAC-1 (all patients and early follow-up of 2x2 factorial patients) (13,14) | 1994–2000 | No CRT; CRT | 178; 175, P=0.24 | 16.1; 15.5 | 19.5; 10.3 |
| ESPAC-1 (2x2 only) (13,14) | 1994–2000 | No chemotherapy; 5-FU/FA | 235; 238, P=0.0005 | 14.0; 19.7 | 9.9; 23.3 |
| CONKO-001 (15) | 1998–2004 | No CRT; CRT | 144; 145 | 17.9; 15.9, P=0.05 | 19.6; 10.8 |
| JSAP-02 (16) | 2002–2005 | No chemotherapy; 5-FU/FA | 142; 147 | 15.5; 20.1, P=0.009 | 8.4; 21.1 |
| RTOG 9704 (17,18) | 1998–2002 | Observation; CRT; 5-FU/FA; CRT + 5-FU/FA | 69; 73; 75; 72 | 16.9; 13.9; 21.6; 19.9 | 10.7; 7.3; 29.0; 13.2 |
| CapRI (19) | 2004–2007 | GEM; observation | 179; 175 | 22.1; 20.2, P=0.06 | 22.5; 11.5 |
| ESPAC-3 (20) | 2000–2007 | GEM; observation | 58; 60 | 22.3; 18.4, P=0.19 | 23.9; 10.6 |
| JASPAC-01 (21) | 2007–2010 | 5-FU/FA, followed by 5-FU-based CRT, followed by 5-FU/FA; GEM, followed by 5-FU-based CRT, followed by GEM | 230; 221 | –; –, P=0.34 | – |
| CONKO-005 (22) | 2008–2013 | 5-FU + cisplatin + IFN- α 2b with radiotherapy, then continuous 5-FU; 5-FU/FA | 64; 68 | 26.5; 28.5, P=0.95 | – |
| CONKO-006 (23) | 2008–2013 | 5-FU/FA; GEM | 551; 537 | 23; 23.6, P=0.39 | 15.9; 17.5 |
| ESPAC-4 (24) | 2008–2014 | GEM; S-1 | 190; 187 | 25.5; 46.5, P<0.0001 | 24.4; 44.1 |
| PRODIGE 24/CCTG PA.6 (5) | 2012–2016 | GEM; GEM erlotinib | 217; 219 | 26.5; 24.5, P=0.61 | 20; 25 |
| | | GEM; GEM + sorafenib | 65; 57 | 15.6; 17.6, P=0.90 | – |
| | | GEM; GEM/CAP | 366; 364 | 25.5; 28.0, P=0.032 | 28.8; 16.3 |
| | | mFOLFIRINOX; GEM | 247; 246 | 54.4; 35.0, P=0.003 | – |

CRT, chemoradiotherapy; 5-FU, 5-fluorouracil; DOX, doxorubicin; MMC, mitomycin; FA, folinic acid; GEM, gemcitabine; CAP, capecitabine; OS, overall survival.

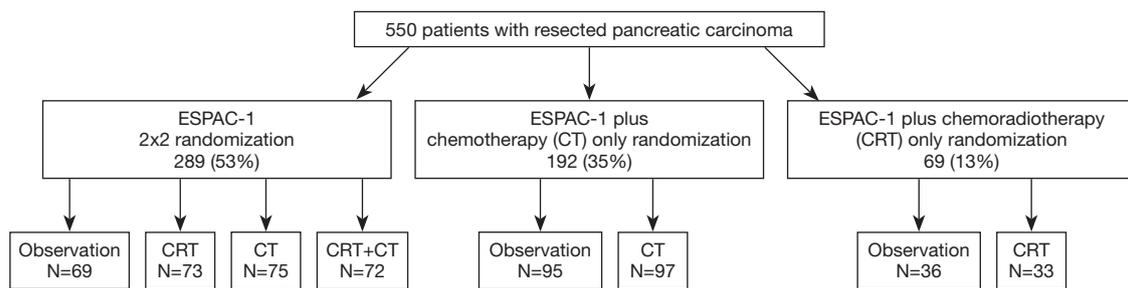


Figure 1 ESPAC-1 trial design, showing 2×2 factorial and single factorial randomizations. ESPAC, European Study Group of Pancreatic Cancer.

survival was significantly longer in the treatment group compared to control group (13.4 versus 6.9 months), whereas median overall survival was comparable between the gemcitabine group and the control group (22.1 versus 20.2 months). Nevertheless, the results from the CONKO-001 trial favored adjuvant mono-chemotherapy with gemcitabine. The Japanese JSAP-02 trial compared 3 cycles of adjuvant monotherapy with gemcitabine versus no adjuvant chemotherapy in 118 Asian patients undergoing resection for pancreatic cancer (16). Median overall survival was comparable between the adjuvant chemotherapy and no adjuvant chemotherapy group (22.3 versus 18.4 months).

The RTOG 9704 phase III trial investigated the effect of the addition of gemcitabine to adjuvant chemoradiotherapy with fluorouracil on survival in patients with resected pancreatic cancer (17,18). Median overall survival of 388 patients with pancreatic head carcinoma was 20.5 months in the gemcitabine group versus 16.9 months in the fluorouracil group. When compared with the individual treatment groups in the ESPAC-1 trial one may suggest better survival times associated with chemotherapy than with chemoradiotherapy. The *Journal of the National Cancer Institute* then drew the conclusion that only few data were in favor of adjuvant chemoradiotherapy for pancreatic cancer (25).

Between 2004 and 2007, the CapRI trial investigated chemoradio-immunotherapy with fluorouracil, cisplatin, and interferon alfa-2b plus radiotherapy followed by 2 cycles of fluorouracil compared to 6 cycles of fluorouracil monotherapy in a total of 132 patients with resected pancreatic cancer (19). Median overall survival was comparable between patients receiving chemoradio-immunotherapy and those receiving fluorouracil monotherapy (26.5 versus 28.5 months). Considering substantial adverse effects in the chemoradio-immunotherapy, the authors concluded that this treatment

cannot be recommended (19).

A head to head comparison between fluorouracil/folinic acid as used in ESPAC-1 and gemcitabine as used in CONKO-001 was undertaken within the ESPAC-3 trial (version 2). A total number of 1,088 patients was randomized to either adjuvant chemotherapy with gemcitabine or fluorouracil/folinic acid for 6 months after resection for pancreatic cancer (20). At a median follow-up time of 34.2 months, median overall survival times were similar between the two chemotherapy arms (23.0 months for fluorouracil/folinic acid versus 23.6 months for gemcitabine monotherapy) (20). However, grade 3/4 toxicities were almost halved in the gemcitabine group compared to the fluorouracil/folinic acid group (7.5% versus 14%). Consequently, from then on adjuvant gemcitabine was recommended as the treatment of choice in pancreatic cancer patients following upfront resection. It was shown that time to start chemotherapy did not influence overall survival rates for patients treated within ESPAC-3 (26). Based on the data from Vallee *et al.* the completion of all 6 cycles of adjuvant chemotherapy but not early start time is critical for postsurgical survival. Consequently, postponing chemotherapy for up to 12 weeks with the aim to allow adequate time for recovery and increase the chance of completing all 6 cycles may be more beneficial. These lessons learned from the ESPAC-3 trial should be considered in daily practice.

The combined results of 458 randomized pancreatic cancer patients from the ESPAC-1, ESPAC-1 plus, and early ESPAC-3 (version 1) trial results were also used to estimate the effectiveness of adjuvant fluorouracil/folinic acid compared to resection alone using meta-analysis (27). Median overall survival was 23.2 months with fluorouracil/folinic acid versus 16.8 months with resection alone, supporting adjuvant chemotherapy with fluorouracil/folinic

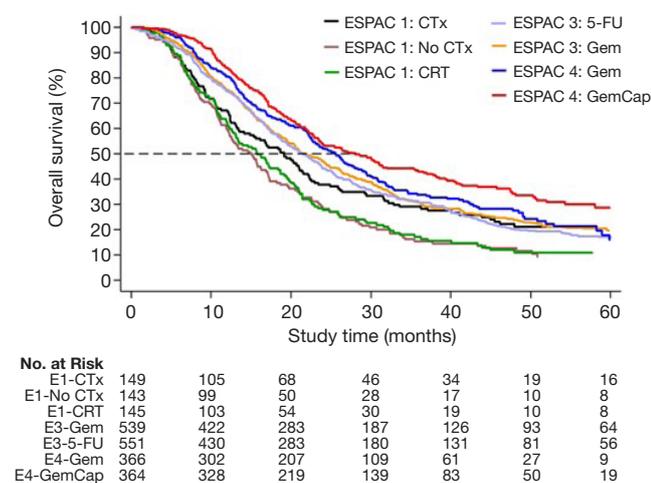


Figure 2 Summary Kaplan-Meier survival curves for patients randomized in the European Study Group for Pancreatic Cancer phase III Trials ESPAC-1, ESPAC-3 and ESPAC-4 (27). ESPAC, European Study Group of Pancreatic Cancer; CTx, chemotherapy; CRT, chemoradiotherapy; 5-FU, 5-fluorouracil; Gem, gemcitabine; GemCap, gemcitabine plus capecitabine.

acid in pancreatic cancer.

Between 2007 and 2010, adjuvant S-1, a fluorouracil prodrug with efficacy in Japanese patients, was compared to adjuvant gemcitabine in Japanese patients with resected pancreatic cancer within the Japanese JASPAC-01 trial (21). After a median follow-up of 40.6 and 39.2 months of patients in the gemcitabine group and those in the S-1 group, respectively, an interim analysis using data of the per-protocol population including 377 patients was performed. This interim analysis showed a hazard ratio (HR) for mortality of S-1 compared with gemcitabine of 0.57 (95% CI: 0.44–0.72, P-non-inferiority <0.0001, P<0.0001 for superiority). Following the recommendation from the independent data and safety monitoring committee, the trial was then discontinued because the pre-specified criteria for early discontinuation were met. The authors concluded that S-1 rather than gemcitabine should be the standard adjuvant treatment for resected pancreatic cancer in Japanese patients.

The CONKO-005 and CONKO-006 trials aimed to investigate the benefit of adjuvant gemcitabine combined with targeted therapies, namely erlotinib or sorafenib, versus gemcitabine monotherapy in patients with resected pancreatic cancer (22,23). In contrast to the palliative situation, however, neither the addition of erlotinib to gemcitabine nor the addition of sorafenib did result in

improved survival for patients.

Current standards

The results from the ESPAC-4 trial, showing a significant survival benefit in patients with resected pancreatic cancer receiving adjuvant combination chemotherapy with gemcitabine and capecitabine compared to gemcitabine monotherapy, build the basis for today's standard of care in the adjuvant setting (Figure 2) (24). Within the multicenter randomized controlled ESPAC-4 trial a total of 730 patients undergoing upfront resection for pancreatic cancer were randomized to 6 cycles of adjuvant chemotherapy with gemcitabine ± oral capecitabine. The median overall survival for patients in the gemcitabine plus capecitabine group was 28.0 months compared to 25.5 months in the gemcitabine only group. There was also a considerably higher 5-year survival rate in the couplet therapy group (28.8% versus 16.3%). Grade 3/4 toxicities were similar in both treatment arms and patients tolerated both regimens quite well. Based on these data, 6 months of adjuvant chemotherapy are currently recommended for patients with resected pancreatic cancer, preferably with combination of gemcitabine and capecitabine, and either with gemcitabine monotherapy or fluorouracil/folinic acid in case of concerns for toxicity or tolerance (28).

More recently, the results from the multicenter, randomized PRODIGE24/CCTGPA.6 French-Canadian trial comparing adjuvant mFOLFIRINOX against gemcitabine have shown unique median survival times of 54.4 months in the mFOLFIRINOX group compared to 35.0 months in the gemcitabine monotherapy group (5). In this trial, 493 patients with macroscopically resected pancreatic cancer, less than 80 years old, and ECOG performance status of 0 or 1, were randomized to either mFOLFIRINOX given every 2 weeks, or gemcitabine monotherapy given every 4 weeks, for 24 weeks. Grade 3/4 toxicities occurred in 75.9% of patients receiving mFOLFIRINOX compared to 52.9% of those receiving gemcitabine. Considering that adjuvant mFOLFIRINOX can achieve the longest overall survival yet reported for patients with resected pancreatic cancer, this regimen should be offered to selected patients with good performance status following upfront resection for pancreatic cancer.

A press release by Celgene on 12 March 2019 reported on the Celgene-sponsored, pivotal, randomized controlled phase 3 ATRACT[®] trial (NCT01964430) evaluating the investigational use of nab-paclitaxel (ABRAXANE) in

combination with gemcitabine as adjuvant treatment following surgical resection in patients with pancreatic cancer (29). Compared to patients randomized to gemcitabine monotherapy, the patients randomized to gemcitabine-nab-paclitaxel did not achieve the primary endpoint of significant improvement in disease-free survival, as evaluated by independent radiological review. Celgene reported that overall survival, a secondary endpoint of the study, was improved, reaching “nominal statistical significance”, with ABRAXANE in combination with gemcitabine compared to gemcitabine alone, but no further details were provided (29). The USA Federal Drugs Administration does not approve ABRAXANE for the adjuvant treatment of pancreatic cancer.

Future perspectives

Despite advances in adjuvant chemotherapy regimen and associated improvements in postsurgical survival times, there is still a large proportion of patients with poor tumor response to the applied chemotherapy protocol while suffering from side effects. One major improvement in survival might be achievable by stratifying patients to current chemotherapy regimens based on treatment specific signatures, which need to be further developed and validated before using them in daily practice within a clinically relevant time frame (30). In addition, direct functional response testing of personalized models of human pancreatic cancer such as patient derived tumor organoids might be a beneficial approach to predict individual treatment responses. Specific prospective clinical trial designs are needed to investigate the potential oncological benefit of such personalized approaches compared to the standard therapies, although the response rates are sorrowfully poor in the vast majority of patients (31).

Tumor cell dissemination as a result of tumor manipulation during surgery may increase the risk for early tumor relapse and metastatic tumor spread in patients undergoing upfront resection. The use of intraoperative chemotherapy seems therefore reasonable and is currently investigated in the prospective combiCaRe trial (DRKS00015766). In addition, with the increasing use of neoadjuvant treatment strategies especially in patients with borderline and locally advanced pancreatic cancer, extend and value of adjuvant treatment in these situations need to be investigated. Results from ongoing trials such as the randomized ESPAC-5F feasibility trial (ISRCTN89500674)

will build the basis for future trials on the use of neoadjuvant therapies compared to upfront surgery in patients with borderline resectable pancreatic cancer.

Summary

Even though the prognosis of pancreatic cancer patients is still dismal, the past few decades have seen considerable improvements in postsurgical survival times. Pancreatic resections have become safe procedures with mortality rates below 5% in specialized institutions even when extended tumors are resected. At the same time, enormous progress has been made in evidence-based development of adjuvant chemotherapy protocols which enable the chance for long-term survival. With the development of personalized approaches and the investigation of neoadjuvant and intraoperative treatment strategies further improvements might be achievable in the near future. Extend and value of adjuvant therapy following neoadjuvant or intraoperative treatment and resection also need to be defined.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

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